### International exposure of the target

1. **Type: Factor**
2. **Interview name:** target\_intl\_exposure
3. **Dedoose name:** International\_exposure
4. **Description:** The target has ties to the international financial system and/or family living abroad.
5. **Inverse factor:** The target lacks international exposure
6. **Inverse factor description:** The target lacks ties to the international financial system.
7. **Contextual or design factor:** Contextual
8. **Family:** Target characteristics

### Clear communication

1. **Type: Factor**
2. **Interview name:** communication
3. **Interview name:** effective\_process
4. Interview name: target\_awareness
5. **Dedoose name:** NA
6. **Description:** The sanctions implementer clearly communicates the actions that would trigger the imposition or lifting of sanctions and rules for complying with sanctions.
7. **Inverse factor:** Absence of clear guidance
8. **Inverse factor description:** The sanctions implementer does not clearly outline off-ramps, implementing rules for relevant financial institutions, and general processes for complying with the tool.
9. **Contextual or design factor:** Design
10. **Family:** Targeted sanctions factors

### Humanitarian exemptions

1. **Type: Factor**
2. **Interview name:** humanitarian\_access
3. **Dedoose name:** NA
4. **Description:** The targeted sanctions exempt humanitarian operations from restrictions on trade or financial exchanges.
5. **Inverse factor:** Absence of humanitarian exemptions
6. **Inverse factor description:** The targeted sanctions do not include humanitarian exemptions.
7. **Contextual or design factor:** Design
8. **Family:** Targeted sanctions factors

### Targeting of enablers

1. **Type: Factor**
2. **Interview name:** target\_enablers
3. **Dedoose name:** NA
4. **Description:** The tool targets a person or group that is enabling atrocities, such as by providing services to perpetrators.
5. **Inverse factor:** The target is not an enabler of the perpetrator(s)
6. **Inverse factor description:** The target is not a person or group enabling the perpetrators.
7. **Contextual or design factor:** Design
8. **Family:** Target characteristics

### Legally authorized

1. **Type: Factor**
2. **Interview name:** legal\_authority
3. **Dedoose name:** NA
4. **Description:** The targeted sanctions have a strong legal basis and/or impose binding legal obligations.
5. **Inverse factor:** The targeted sanctions are not legally authorized
6. **Inverse factor description:** The targeted sanctions are not legally authorized.
7. **Contextual or design factor:** Design
8. **Family:** Targeted sanctions factors

### Military sanctions

1. **Type: Factor**
2. **Interview name:** military\_activity
3. **Dedoose name**: NA
4. **Description:** The sanctions target military activities.
5. **Inverse factor:** Non-military sanctions
6. **Inverse factor description:** The sanctions target non-military activities.
7. **Contextual or design factor:** Design
8. **Family:** Targeted sanctions factors

### Ideologically motivated target

1. **Type:** Factor
2. **Dedoose name:** Atrocity-justifying ideology or revenge
3. **Interview name:** atrocity\_justifying\_ideology
4. **Description:** The target is motivated by atrocity-justifying ideology or revenge.
5. **Inverse factor:** Absence of ideologically motivated target
6. **Inverse factor description:** The target is not motivated by atrocity-justifying ideology or revenge.
7. **Contextual or design factor:** Contextual
8. **Family:** Target characteristics

### Implementer has strong leverage

1. **Type: Factor**
2. **Dedoose name:** Implementer leverage
3. **Interview name:** implementer\_leverage
4. **Interview name**: target\_great\_power
5. **Description:** The tool implementer (1) has a significant degree of relative power in the international system, (2) is a member of the “Permanent Five” (P5) countries on the UN Security Council, or (3) has significant leverage over the conflict parties
6. **Inverse factor:** Implementer has weak leverage
7. **Inverse factor description:** The tool implementer (1) has a low degree of relative power in the international system, (2) is not a member of the “Permanent Five” (P5) countries on the UN Security Council, or (3) has weak leverage over the conflict parties
8. **Contextual or design factor:** Design
9. **Family:** Implementer characteristics

### Target has access to resources

1. **Type: Factor**
2. **Dedoose name:** Access to resources
3. **Interview name:** access\_resources
4. **Description:** The target has access to resources, such as oil or gemstones.
5. **Inverse factor:** Target lacks access to resources
6. **Inverse factor description:** The target lacks access to resources, such as oil or gemstones, to continue the conflict.
7. **Contextual or design factor:** Contextual
8. **Family:** Target characteristics

### High status target

1. **Type: Factor**
2. **Dedoose name:** Top leader or high status
3. **Interview name:** influential\_perpetrators
4. **Description:** The target is a top leader or has high status.
5. **Inverse factor:** Not a top leader or high status
6. **Inverse factor description:** The target is not a top leader or has low status.
7. **Contextual or design factor:** Design
8. **Family:** Target characteristics

### History of tool attempts

1. **Type: Factor**
2. **Dedoose name:** History of tool use
3. **Interview name**: history\_tool
4. **Description: The tool has been used in the country’s history.**
5. **Inverse factor:** No history of tool use
6. **Inverse factor description:** The tool has not been used in the country’s history.
7. **Contextual or design factor:** Contextual
8. **Family:** Domestic context

### Target prioritizes reputation

1. **Type: Factor**
2. **Dedoose name:** Priority of reputation
3. **Interview name:** reputation\_target
4. **Description:** The target cares about their reputation among domestic and international audiences .
5. **Inverse factor:** Target does not prioritize reputation
6. **Inverse factor description:** The target does not care about their reputation among domestic and international audiences .
7. **Contextual or design factor:** Contextual
8. **Family:** Target characteristics

### Experienced implementer

1. **Type: Factor**
2. **Dedoose name:** Experience
3. **Interview name:** experience
4. **Description:** The tool implementer was previously involved in efforts to use the tool in the conflict in which mass atrocities are occurring.
5. **Inverse factor:** Poorly-informed implementer
6. **Inverse factor description:** The tool implementer was not previously involved in efforts to use the tool in the conflict in which mass atrocities are occurring.
7. **Contextual or design factor:** Design
8. **Family:** Implementer characteristics

### Target is a severe human rights violator

1. **Type: Factor**
2. **Dedoose name: Severe** HR violations
3. **Interview name:** identifiable\_perpetrators
4. **Interview name:** intl\_hr\_standards
5. **Description:** The target has committed an extensive number of human rights violations in the conflict in which mass atrocities or closely-related outcomes are occurring.
6. **Inverse factor:** Target is not a severe human rights violator
7. **Inverse factor description:** The target has committed a less extensive number of human rights violations in the conflict in which mass atrocities or closely-related outcomes are occurring.
8. **Contextual or design factor:** Contextual
9. **Family:** Target characteristics

### Flexibility and linkage to behavior

1. **Type: Factor**
2. **Dedoose name:** Flexibility\_and\_linkage\_to\_behavior
3. **Interview name**: sanctions\_escalate
4. **Description:** The use of the tool is flexible and linked to the behavior of the target--i.e., if the target improves their behavior, the tool is lifted.
5. **Inverse factor:** Inflexibility and no linkage to behavior
6. **Inverse factor description:** The use of the tool is inflexible and not linked to the behavior of the target--i.e., if the target improves their behavior, the tool is not lifted.
7. **Contextual or design factor:** Design
8. **Family:** Implementer choices

### Well-informed implementer

1. **Type: Factor**
2. **Dedoose name:** Knowledge
3. **Interview name:** knowledge\_information
4. **Description:** The tool implementer is well-versed in the political and social context in which the conflict is occurring, or has credible information about the intentions or capabilities of the target.
5. **Inverse factor:** Poorly-informed implementer
6. **Inverse factor description:** The tool implementer is poorly-versed in the political and social context in which the conflict is occurring, or lacks credible information about the intentions or capabilities of the target.
7. **Contextual or design factor:** Design
8. **Family:** Implementer characteristics

### Committed implementer

1. **Type: Factor**
2. **Dedoose name:** Commitment
3. **Interview name:** commitment
4. **Description:** The tool implementer has a high level of commitment, resolve, or credibility, or has committed a great deal of resources toward use of the tool.
5. **Inverse factor:** Uncommitted implementer
6. **Inverse factor description:** The tool implementer has a low level of commitment, resolve, or credibility, or has committed few resources toward use of the tool.
7. **Contextual or design factor:** Design
8. **Family:** Implementer characteristics

### International support or coordination

1. **Type: Factor**
2. **Dedoose name:** International support or coordination
3. **Interview name:** third\_party\_support\_coordination
4. Interview name: un\_sanctions\_support
5. **Description:** There is a high degree of international support for the use of the tool, or the tool implementer coordinates with other international actors on the use of the tool.
6. **Inverse factor:** NA
7. **Inverse factor description:** NA
8. **Contextual or design factor:** Design
9. **Family:** International dynamics

### Broad authorities

1. **Type: Factor**
2. **Dedoose name:** NA
3. **Interview name:** broad\_authorities
4. **Description:** The tool is applied in a broad way or has broad authorities.
5. **Inverse factor:** NA
6. **Inverse factor description:** NA
7. **Contextual or design factor:** Design
8. **Family:** Implementer choices

### Concurrent use of multiple tools

1. **Type: Factor**
2. **Dedoose name:** Multi\_tool
3. **Interview name:** multi\_tool
4. **Description:** The tool implementer or other actors are simultaneously implementing other tools that are consistent with the goals of the tool.
5. **Inverse factor:** Single tool
6. **Inverse factor description:** No actors are implementing tools that are consistent with the goals of the tool.
7. **Contextual or design factor:** Design
8. **Family:** Implementer choices

### Early implementation

1. **Type: Factor**
2. **Dedoose name:** Early\_in\_conflict
3. **Interview name:** rapid\_use
4. **Interview name:** after\_atrocities
5. **Description:** The tool is implemented early in the conflict or rapidly after the appearance of early warning signs or occurrence of mass atrocities.
6. **Inverse factor:** Late implementation
7. **Inverse factor description:** The tool is implemented late in the conflict or slowly after the appearance of early warning signs or occurrence of mass atrocities.
8. **Contextual or design factor:** Design
9. **Family:** Implementer choices

### Clear policy objectives

1. **Type: Factor**
2. Dedoose name: Clear policy objectives
3. Interview name: policy\_objective
4. Interview name: ma\_policy\_goal
5. **Description:** The implementer's objectives in using the tool are clear.
6. **Inverse factor:** Absence of clear policy objectives
7. **Inverse factor description:** The implementer's objectives in using the tool are unclear.
8. **Contextual or design factor:** Design
9. **Family:** Implementer choices

### State target

1. **Type: Factor**
2. **Dedoose name:** State target
3. **Interview name**: state\_actor
4. **Description:** The target is a state actor or state group.
5. **Inverse factor:** Non-state target
6. **Inverse factor description:** The target is a non-state actor or non-state group.
7. **Contextual or design factor:** Design
8. **Family:** Target characteristics

### Sanctions targets salient interests

1. **Type: Factor**
2. **Dedoose name:** NA
3. **Interview name:** target\_interests\_salient
4. **Description**: The sanctions focus on a salient interest of the target, such as assets or ability to operate in the international financial system.
5. **Inverse factor:** Sanctions target less salient interests
6. **Inverse factor description:** The sanctions focus on a less salient interest of the target, such as assets or ability to operate in the international financial system.
7. **Contextual or design factor:** Design
8. **Family:** Targeted sanctions factors